| FORM 1449* INFORMATION DISCLOSURE STATEMENT | Docket Number:<br>GUID.627PA | Application Number: To be assigned |  |
|---|------------------------------|------------------------------------|--|
| IN AN APPLICATION                           | Applicant: WAGNER et al.     |                                    |  |
| (Use several sheets if necessary)           | Filing Date: 3/12/2004       | Group Art Unit: To be assigned     |  |

|                     |              |            | U.S. PATENT DOCUMEN | TS .   |               |                            |
|---------------------|--------------|------------|---------------------|--|---------------|----------------------------|
| EXAMINER<br>INITIAL | DOCUMENT NO. | DATE       | NAME                | CLASS  | SUBCLASS      | FILING DATE IF APPROPRIATE |
| 55                  | 6,628,986 B1 | 09/30/03   | Mouchawar et al.    |  |               | - ATTOTALE                 |
| _5J                 | 6,622,046    | 09/16/03   | Fraley et al.       |  |               |                            |
| _5J                 | 6,615,083    | 09/02/03   | Kupper              |  |               |                            |
| SI                  | 6,607,509    | 08/19/03   | Bobroff et al.      |  |               |                            |
| 55                  | 6,564,106    | 05/13/03   | Guck et al.         |  |               |                            |
| SJ                  | 6,522,915    | 02/18/03   | Ceballos et al.     |  |               |                            |
| SJ                  | 6,512,940    | 01/28/03   | Brabec et al.       | 0  |               |                            |
| 55                  | 6,491,639    | 12/10/2002 | Turcott             |  | <del></del>   |                            |
| 57                  | 6,487,443    | 11/26/02   | Olson et at.        |  | $\overline{}$ |                            |
| 55                  | 6,438,410    | 08/20/02   | Hsu et al.          |  |               |                            |
| SJ                  | 6,280,462    | 08/28/01   | Hauser et al.       |  |               |                            |
| SI                  | 6,259,947    | 07/10/01   | Olson et al.        |  |               |                            |
| 55                  | 6,148,230    | 11/14/00   | KenKnight           |  |               |                            |
| SJ                  | 6,141,581    | 10/31/00   | Olson et al.        |  |               |                            |
| SJ                  | 6,055,454    | 04/25/00   | Heemels             |  |               |                            |
| SJ                  | 6,044,298    | 03/28/00   | Salo et al.         | 1  |               |                            |
| SJ                  | 5,957,956    | 09/28/99   | Kroll et al.        |  |               |                            |
| SJ                  | 5,916,243    | 06/29/99   | KenKnight et al.    |  |               |                            |
| 5J                  | 5,895,414    | 04/20/99   | Sanchez-Zambrano    | 0  |               |                            |
| SJ                  | 5,855,593    | 01/05/99   | Olson et al.        |  |               |                            |
| 55                  | 5,836,987    | 11/17/98   | Baumann et al.      |  |               |                            |
| SJ                  | 5,827,326    | 10/27/98   | Kroli et al.        |  |               | <del></del>                |
| 57                  | 5,724,984    | 03/10/98   | Amold et al.        |  |               |                            |
| . 55                | 5,704,365    | 01/06/98   | Albrecht et al.     |  | <del></del>   |                            |
| 53                  | 5,697,953    | 12/16/97   | Kroll et al.        |  |               |                            |
| 55                  | 5,662,688    | 09/02/97   | Haefner et al.      | 1  | $\overline{}$ |                            |
| 55.                 | 5,641,326    | 06/24/97   | Adams               |  |               |                            |
| SJ                  | 5,634,938    | 06/03/97   | Swanson et al.      | <del>                                     </del> |               |                            |
| 55                  | 5,620,466    | 04/15/97   | Haefner et al.      | 1 1  |               |                            |
| 55                  | 5,603,732    | 02/18/97   | Dahl et al.         | 1 1  |               |                            |
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**EXAMINER** DATE CONSIDERED

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| FORM 44.60                                  |                              |                                    |  |
|---|------------------------------|------------------------------------|--|
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|            |           |          | _                   |             |  |              |
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| 55         | 5,545,202 | 08/13/96 | Dahl et al.         |             |  |              |
| 27         | 5,545,186 | 08/13/96 | Olson et al.        |             | <del>                                     </del> |              |
| SJ         | 5,540,727 | 07/30/96 | Tockman et al.      |             |  |              |
| <i>S</i>   | 5,531,779 | 07/02/96 | Dahl et al.         |             |  | +            |
| SJ         | 5,468,254 | 11/21/95 | Hahn et al.         |             |  | <del>\</del> |
| 57         | 5,441,518 | 08/15/95 | Adams et al.        |             |  |              |
| SJ         | 5,439,482 | 08/08/95 | Adams et al.        |             |  |              |
| 27         | 5,411,539 | 05/02/95 | Neisz               | <del></del> |  |              |
| 22         | 5,411,525 | 05/02/95 | Swanson et al.      |             |  |              |
| 27         | 5,411,031 | 05/02/95 | Yomtov              |             |  |              |
| 22         | 5,397,342 | 03/14/95 | Heil, Jr. et al.    |             | 1  |              |
| _53_       | 5,391,200 | 02/21/95 | KenKnight et al.    |             |  |              |
|            | 5,388,578 | 02/14/95 | Yomtov et al.       |             |  |              |
| _ 5J       | 5,376,106 | 12/27/94 | Stahmann et al.     |             |  |              |
| SI         | 5,372,606 | 12/13/94 | Lang et al.         |             |  |              |
| SJ         | 5,366,496 | 11/22/94 | Dahl et al.         |             |  |              |
| 21         | 5,360,442 | 11/01/94 | Dahl et al.         |             |  |              |
| SI         | 5,331,966 | 07/26/94 | Bennett et al.      |             |  |              |
| 7.2        | 5,318,597 | 06/07/94 | Hauck et al.        |             |  |              |
| <i>5</i> J | 5,314,459 | 05/24/94 | Swanson et al.      |             |  |              |
| 55         | 5,314,430 | 05/24/94 | Bardy               |             |  |              |
|            | 5,313,953 | 05/24/94 | Yomtov et al.       |             |  |              |
| _35        | 5,301,677 | 04/12/94 | Hsung               |             |  |              |
| SJ         | 5,300,106 | 04/05/94 | Dahl et al.         |             | \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \            |              |
| <u> </u>   | 5,292,338 | 03/08/94 | Bardy               |             | 1  |              |
| 55         | 5,284,136 | 02/08/94 | Hauck et al.        |             |  |              |
| SJ         | 5,261,400 | 11/16/93 | Bardy               |             |  |              |
| SJ         | 5,230,337 | 07/27/93 | Dahl et al.         | 6           |  |              |
| SJ         | 5,209,229 | 05/11/93 | Gilli               |             |  |              |
| SJ         | 5,203,348 | 04/20/93 | Dahl et al.         |             |  |              |
| 55         | 5,179,945 | 01/19/93 | Van Hofwegen et al. |             |  |              |
| 55         | 5,170,784 | 12/15/92 | Ramon et al.        |             |  |              |
|            |           |          |                     |             | <del></del>                                      |              |

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| (Use several sheets if necessary)           | Filing Date: 3/12/2004       | Group Art Unit: To be assigned     |  |

| L | 55        | 5,133,353    | 07/28/92 | Hauser            |                 |          |  |
|---|-----------|--------------|----------|-------------------|-----------------|----------|--|
|   | <u>SJ</u> | 5,036,849    | 08/06/91 | Hauck et al.      |                 |          |  |
| L | 51        | 4,953,551    | 09/04/90 | Mehra et al.      |                 |          |  |
|   | 55        | 4,562,841    | 01/07/86 | Brockway et al.   |                 |          |  |
|   | SJ        | 2003/0212436 | 11/13/03 | Brown             | † · · · · · · · |          |  |
|   | SJ        | 2003/0097153 | 05/22/03 | Bardy et al.      |                 |          |  |
| L | 22        | 2003/0088286 | 05/08/03 | Ostroff et al.    | -               |          |  |
| L | ST        | 2003/0088283 | 05/08/03 | Ostroff           | 1               |          |  |
| L | 55        | 2003/0088282 | 05/08/03 | Ostroff           | 1               |          |  |
| L | SJ        | 2003/0088281 | 05/08/03 | Ostroff et al.    | <del></del>     |          |  |
| L | TZ        | 2003/0088280 | 05/08/03 | Ostroff           |                 |          |  |
| L | SJ        | 2003/0088279 | 05/08/03 | Rissmann et al.   |                 | <u>.</u> |  |
| L | SJ        | 2003/0088278 | 05/08/03 | Bardy et al.      |                 |          |  |
| L | 5J        | 2003/0069609 | 04/10/03 | Thompson          |                 |          |  |
|   | ST        | 2003/0045904 | 03/06/03 | Bardy et al.      |                 |          |  |
| L | 53        | 2003/0036778 | 02/20/03 | Ostroff et al.    |                 |          |  |
| L | 55        | 2003/0023175 | 01/30/03 | Arzbaecher et al. | <u> </u>        |          |  |
| L | 82        | 2003/0004552 | 01/02/03 | Plombon et al.    |                 |          |  |
|   | SJ        | 2003/0004546 | 01/02/03 | Casey             |                 |          |  |
|   | 55        | 2002/0120299 | 08/29/02 | Ostroff et al.    |                 |          |  |
| L | SJ        | 2002/0107559 | 08/08/02 | Sanders et al.    |                 |          |  |
| L | 27        | 2002/0107549 | 08/08/02 | Bardy et al.      |                 | 7        |  |
|   | <b>LS</b> | 2002/0107548 | 08/08/02 | Bardy et al.      | 7               |          |  |
| L | SJ        | 2002/0107547 | 08/08/02 | Erlinger et al.   |                 |          |  |
| _ | <b>SJ</b> | 2002/0107546 | 08/08/02 | Ostroff et al.    | 1               |          |  |
|   | SJ        | 2002/0107545 | 08/08/02 | Rissmann et al.   |                 |          |  |
| L | 55        | 2002/0107544 | 08/08/02 | Ostroff et al.    |                 |          |  |
|   | SI        | 2002/0103510 | 08/01/02 | Bardy et al.      |                 |          |  |
| _ | SJ        | 2002/0095184 | 07/18/02 | Bardy et al.      |                 |          |  |
| L | 22        | 2002/0091414 | 07/11/02 | Bardy et al.      |                 |          |  |
|   | 55        | 2002/0082658 | 06/27/02 | Heinrich et al.   |                 |          |  |
| L | 27        | 2002/0072773 | 05/13/02 | Bardy et al.      |                 |          |  |

| EXAMINER              | 8                 | Johnson  | DATE CONSIDERED               | 12/9                | 105                              |
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| l |   | Applicant: WAGNER et al.     |                                       |  |
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| 57       | 2002/0068958 | 06/06/02 | Bardy et al.    | 15          |  | <del></del>  |
|----------|--------------|----------|-----------------|-------------|--|--------------|
| 55       | 2002/0052636 | 05/02/02 | Bardy et al.    |             | <del> </del>                                     |              |
| 55       | 2002/0049476 | 04/25/02 | Bardy et al.    |             |  |              |
| _53_     | 2002/0049475 | 04/25/02 | Bardy et al.    |             |  |              |
| SJ       | 2002/0042634 | 04/11/02 | Bardy et al.    |             |  | <del>\</del> |
| SJ       | 2002/0042630 | 04/11/02 | Bardy et al.    |             |  |              |
| SJ       | 2002/0042629 | 04/11/02 | Bardy et al.    |             |  |              |
| 53       | 2002/0035381 | 03/21/02 | Bardy et al.    |             |  |              |
| SJ       | 2002/0035380 | 03/21/02 | Rissmann et al. |             | <del>                                     </del> | <u> </u>     |
| SJ       | 2002/0035379 | 03/21/02 | Bardy et al.    |             |  |              |
| 55       | 2002/0035378 | 03/21/02 | Bardy et al.    | <del></del> |  |              |
| <u> </u> | 2002/0035377 | 03/21/02 | Bardy et al.    |             |  | -            |
| 55       | 2002/0035376 | 03/21/02 | Bardy et al.    |             |  |              |

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|                          | DOCUMEN   | T NO.   | DATE  | COUNTRY   | CLASS           | SUBCLASS               | TRANS             | LATION      |
| 55                       | WO 92/204 | 02  | 26 Nov. 1992  | wo  |                 |                        | YES               | <u> </u>    |
|                          | T         | OTHE  | R DOCUMENTS   | (Including Author, Title, Date,                                       | Pertinent Page  | s, Etc.)               |                   | L           |
| SJ                       |           | Renee Hartz<br>(1989).  | et al., New Appl  | roach to Defibrillator Insertion,                                     | J. Thoracic Ca  | rdiovascular Surger    | y, Vol. 97, pp. 9 | 920-922     |
| SJ                       | / ,       | Theofilos M.<br>System, Am  | Kolettis, MD, Ph<br>. Heart J., Vol. 12   | D et al., Submammary Implant<br>26, pp. 1222-1223 (Nov. 1993)         | ation of a Card | ioverter-Defibrillator | with a Nonthor    | actomy Lead |
| SJ                       | //        | John C. Sch   | uder et al., Trans  | thoracic Ventricular Defibrillation<br>Medical Engin., Vol. BME-18, N | on in the Dogu  | rith Truncated and U   | Intruncated Exp   | ponential   |
| SJ                       | /: .      | John C. Sch   | John C. Schuder et al., Ventricular Defibrillation in the Dog Using Implanted and Partially Implanted Electrode Systems,<br>Am. J. of Cardiology, Vol. 33, pp. 243-247 (Feb. 1974).   |   |                 |                        |                   |             |
| SJ                       | //        | John C. Sch<br>Trans. Am. S   | uder et al., Exper<br>Soc. Artif. Int. Org  | rimental Ventricular Defibrillatio<br>Jans, Vol. 16, pp. 207-212 (197 | n with an Autor | matic and Complete     | ly Implanted Sy   | rstem,      |
| SJ                       |           | Karel Smits & Marek Malik, Defibrillation Threshold (DFT) Model of a Fully Subcutaneous ICD System, Europace Supplements, Vol. 2, June 2001, page B83, column 778 (best copy available).                      |   |   |                 |                        |                   |             |
| 53                       | / /       | Stirbis et al.,   | Stirbis et al., Optmizing the Shape of Implanted Artificial Pacemakers, Kaunas Medical Institute. Translated from Meditsinskaya Tekhnika, No. 6, pp. 25-27 (1986).  |   |                 |                        |                   |             |
| SJ                       | , /       | Charles T. Le   | Charles T. Leng et al., Lead Configuration for Defibrillator Implantation in a Patient with Congenital Heart Disease and a Mechanical Prosthetic Tricuspid Valve, PACE, Vol. 24, No. 8, pp. 1291-1292 (Aug. 2001).  |   |                 |                        |                   |             |
| SJ                       |           | Park & Pollock, Use of an Implantable Cardioverter Defibrillator in an Eight-Month-Old Infant with Ventricular Fibrillation Arising from a Myocardial Fibroma, PACE, Vol. 22, No. 1, pp. 138-139 (Jan. 1999). |   |   |                 |                        |                   |             |
| SJ                       |           | Rainer Grada<br>Subcutaneou   | Rainer Gradaus M.D. et al., Nonthoracotomy Implantable Cardioverter Defibrillator Placement in Children: Use of a Subcutaneous Array Leads and Abdominally Placed Implantable Cardioverter Defibrillators in Children, J. of Cardiovascular Electrophysiology, Vol. 12, No. 3, pp. 356-360 (March 2001) |   |                 |                        |                   |             |

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